

## PERSONAL FINANCIAL STATEMENT as of:

| investing in you.  |                   |                    |  | as               | s of:                        |                |  |
|--|-------------------|--------------------|--|------------------|------------------------------|----------------|--|
|  |                   | PERSONAI           | LINFORMATION                             |                  |                              |                |  |
|  | LICANT            |                    |  | SPOUSE / CO-APP  | LICANT                       |                |  |
| NAME:  |                   |                    | NAME:                                    |                  |                              |                |  |
| RESIDENCE ADDRESS:   |                   |                    | RESIDENCE ADDRESS:                       |                  |                              |                |  |
| CITY, STATE, ZIP:  |                   |                    | CITY, STATE, ZIP:                        |                  |                              |                |  |
| PHONE NUMBER: SSN:   |                   | DATE OF BIRTH:     | PHONE NUMBER:                            | SSN:             |                              | DATE OF BIRTH: |  |
|  |                   |                    | PHONE NUMBER: SSN:                       |                  |                              | DATE OF BIRTH: |  |
| EMAIL ADDRESS:   |                   |                    | EMAIL ADDRESS:                           |                  |                              |                |  |
| EMPLOYER:  |                   |                    | EMPLOYER:                                |                  |                              |                |  |
| POSITION / TITLE:  |                   |                    | POSITION / TITLE:                        |                  |                              |                |  |
|  |                   |                    |  |                  |                              |                |  |
| YEARS AT CURRENT EMPLOYER:   | YEARS IN CU       | JRRENT PROFESSION: | YEARS AT CURRENT EMPLOYER:               |                  | YEARS IN CURRENT PROFESSION: |                |  |
|  |                   |                    | 1  |                  |                              |                |  |
| ASSETS   |                   | AMOUNT (\$)        | LIABILI                                  | TIES             |                              | AMOUNT (\$)    |  |
| CASH - (Sch. A)  |                   |                    | CREDIT CARDS & LINES OF CRI              | EDIT - (Sch. G)  |                              |                |  |
| READILY MARKETABLE SECURITIES - (Sch. B)   | )                 |                    | NOTES PAYABLE - (Sch. G)                 |                  |                              |                |  |
| NON-READILY MARKETABLE SECURITES - (S  | ich. C)           |                    | MORTGAGE PAYABLE - RESIDENCE - (Sch. E)  |                  |                              |                |  |
| INVESTMENTS IN PARTNERSHIPS - (Sch. D)   |                   |                    | MORTGAGE PAYABLE - INVESTMENT - (Sch. E) |                  |                              |                |  |
| REAL ESTATE - RESIDENCE - (Sch. E)   |                   |                    | TAXES PAYABLE                            |                  |                              |                |  |
| REAL ESTATE - INVESTMENT - (Sch. E)  |                   |                    | OTHER ACCOUNTS PAYABLE                   |                  |                              |                |  |
| RETIREMENT ACCOUNTS - (Sch. F)   |                   |                    | OTHER (DESCRIBE):                        |                  |                              |                |  |
| CASH VALUE OF LIFE INSURANCE   |                   |                    | OTHER (DESCRIBE):                        |                  |                              |                |  |
| ACCOUNTS AND NOTES RECEIVABLE  |                   |                    | OTHER (DESCRIBE):                        |                  |                              |                |  |
| AUTOMOBILES  |                   |                    | OTHER (DESCRIBE):                        |                  |                              |                |  |
| PERSONAL PROPERTY  |                   |                    | TOTAL LIA                                | BILITIES         |                              |                |  |
| OTHER (DESCRIBE):  |                   |                    |  |                  |                              |                |  |
| OTHER (DESCRIBE):  |                   |                    |  |                  |                              |                |  |
| TOTAL ASSETS   |                   |                    | NET WORTH (ASSETS                        | LESS LIABILITIES | S)                           |                |  |
| ANNUAL INCOME  |                   | AMOUNT (\$)        | ANNUAL E)                                | (PENSES          |                              | AMOUNT (\$)    |  |
| SALARY (APPLICANT):  |                   |                    | FEDERAL INCOME AND OTHER                 | R TAXES          |                              |                |  |
| SALARY (CO-APPLICANT):   |                   |                    | STATE INCOME AND OTHER T                 | AXES             |                              |                |  |
| BONUSES & COMMISSIONS (APPLICANT):   |                   |                    | RENTAL PAYMENTS                          |                  |                              |                |  |
| BONUSES & COMMISSIONS (CO-APPLICANT  | Γ):               |                    | MORTGAGE PAYMENTS - RESI                 | DENCE            |                              |                |  |
| INTEREST & DIVIDEND INCOME:  |                   |                    | PROPERTY TAXES - RESIDENCE               |                  |                              |                |  |
| RENTAL INCOME:   |                   |                    | MORTGAGE PAYMENTS - INVI                 | ESTMENT          |                              |                |  |
| COMPANY/PARTNERSHIP DISTRIBUTIONS:   |                   |                    | PROPERTY TAXES - INVESTME                | NT               |                              |                |  |
| CHILD SUPPORT / ALIMONY (NOT REQUIRE   | D)*               |                    | PROPERTY TAXES - INVESTME                | NT               |                              |                |  |
| OTHER (DESCRIBE):  |                   |                    | PRINCIPAL & INTEREST PAYMI               | ENTS ON LOANS    |                              |                |  |
| OTHER (DESCRIBE):  |                   |                    | INSURANCE                                |                  |                              |                |  |
| *NOTE: DETAIL OF CHILD SUPPORT AND/OR ALIM   | IONY INCOME IS NO | OT                 | CHILD SUPPORT / ALIMONY                  |                  |                              |                |  |
| REQUIRED. PLEASE LIST THIS INCOME ONLY IF YO CONSIDERED AS A BASIS FOR REPAYMENT OF YO | OU WOULD LIKE IT  |                    | LIVING EXPENSES                          |                  |                              |                |  |
| CONSIDERED AS A BASIS FOR REPAYMENT OF YO  | ON OBLIGATIONS.   |                    | OTHER (DESCRIBE):                        |                  |                              |                |  |
|  |                   |                    | OTHER (DESCRIBE):                        |                  |                              |                |  |

**TOTAL ANNUAL EXPENSES** 

TOTAL ANNUAL INCOME

| CONTINGENT LIABILITIES (DEBT THAT IS  | PERSONALLY GUAR | ANTEED BY APPLICANT, E | BUT NOT HELD IN A  | APPLICANT'S PERSO      | NAL NAME)       |       |                  |
|---------------------------------------|-----------------|------------------------|--|------------------------|-----------------|-------|------------------|
| BORROWER/COMPANY NAME                 |                 |                        | FINANCIAL INSTITUTION  |                        | MONTHLY PAYMENT |       | LOAN BALANCE     |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        | TOTAL C  | ONTINGENT LIA          | ABILITIES       |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
| SCHEDULE A - CASH (CHECKING, SAVINGS, |                 | 1                      |  | DI ED CED 2 (VII       | -C NO)          |       | DALANCE          |
| ACCOUNT OWNER / TYPE OF AC            | COUNT           | FINANCIAL              | NSTITUTION   | PLEDGED? (YE           | S or NO)        |       | BALANCE          |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  | <del> </del>           |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  | TOTAL CASH BA          | LANCES          |       |                  |
|                                       |                 |                        |  | TOTAL CASTI DE         | ILPITOLO        |       |                  |
| SCHEDULE B - READILY MARKETABLE S     | SECURITIES (PUB | LICLY TRADED STOCKS, B | ONDS, AND MUTU   | AL FUNDS NOT HEL       | D IN RETIREN    | 1ENT  | ACCTS)           |
| OWNER / DESCRIPTION                   | •               | FACE VALUE O           | R # OF SHARES  | PLEDGED? (YE           | S or NO)        |       | MARKET VALUE     |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 | TOTAL VALUE            | TOTAL VALUE OF READILY MARKETABLE SECURITIES   |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
| SCHEDULE C - NON-READILY MARKETA      | ABLE SECURITIES |                        | The state of the s |                        |                 |       |                  |
| COMPANY NAME                          |                 | OWNER NAME             |  | % OWNED OR # OF SHARES |                 |       | VALUE            |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 | TOTAL WALLE OF N       | ON BEADUY M  | ABVETABLE CE           | CUDITIES        |       |                  |
|                                       |                 | TOTAL VALUE OF N       | ON-READILY IV  | IARKETABLE SEC         | LUKITIES        |       |                  |
| SCHEDULE D - INVESTMENTS IN PARTI     | MERCHIDS        |                        |  |                        |                 |       |                  |
| PARTNERSHIP NAME                      | VERSIIII 3      | OWNER NAME             |  | PERCENT OWNED          |                 | VALUE |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       | I               | TOTAL \                | ALUE OF PART   | NERSHIP INVES          | TMENTS          |       |                  |
|                                       |                 |                        |  |                        | •               |       |                  |
| SCHEDULE E - REAL ESTATE              |                 |                        |  |                        |                 |       |                  |
|                                       |                 | DDIA 4 A DV DECIE      | SENCE  |                        |                 |       |                  |
| PROPERTY ADDRESS / LEGAL OWN          | IFR             | PRIMARY RESID          | DATE ACQUIRED  | LIENHOLDER             | PAYMEN          | т     | MORTGAGE BALANCE |
| THOI ENTITIONESS / EEGAL OWN          |                 | WINTERE TARGET         | DATEACQUIRED   | ELENTIOEDEN            | TATIVILLI       |       | WORTGAGE BALANCE |
|                                       | ADDITIONAL N    | ORTGAGE DEBT ON PRIN   | RTGAGE DEBT ON PRIMARY RESIDENCE:  |                        |                 |       |                  |
|                                       |                 |                        |  | ANCE - PRIMAF          | Y RESIDEN       | CE    |                  |
|                                       |                 | TOTAL                  |  |                        |                 |       | <u>I</u>         |
|                                       | 1               | INVESTMENT REA         |  | 1                      |                 |       | 1                |
| PROPERTY ADDRESS / LEGAL OWNER        | RENTAL INCOME   | MARKET VALUE           | DATE ACQUIRED  | LIENHOLDER             | PAYMEN          | IT    | MORTGAGE BALANCE |
|                                       |                 |                        |  |                        | ļ               |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
|                                       |                 |                        |  |                        |                 |       |                  |
| TOTAL AND                             |                 |                        | TOTALLE  | ODTCACEDAY             | NCE 1277        | D.C.  |                  |
| TOTAL MARKET VA                       | LUE - INV. RE   |                        | TOTAL M  | ORTGAGE BALA           | NCE - INV.      | KŁ    | Ī                |

| SCHEDULE F - RETIREMENT ACCOUNTS   |  |   |   |  |   |
|--|--|---|---|--|---|
| OWNER / DESCRIPTION  | l p  | ERCENT VESTED   | PLEDGED? (YE  | S or NO)   | VALUE   |
| ,  |  |   |   | ,  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  | TOTAL VALUE   | OF RETIREMENT AC  | COUNTS   |   |
|  |  |   |   |  |   |
| SCHEDULE G - NOTES PAYABLE (DEBT THAT IS NOT A   | ALREADY LISTED ABOVE I   | N SCHEDULE E - REA  | L ESTATE)   |  |   |
|  | CREDIT CARDS AN  | ID LINES OF CREI  | DIT   |  |   |
| TYPE OF DEBT / FINANCIAL INSTITUTION   |  | IAL INSTITUTION   | CREDIT LIMIT  | PAYMENT  | BALANCE   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  | TOTA  | L BALANCE OF REV  | OLVING DEBT  |   |
|  | NOTES  | PAYABLE   |   |  |   |
| BORROWER / TYPE OF DEBT  | FINANC   | IAL INSTITUTION   | COLLATERAL  | PAYMENT  | BALANCE   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   |   |  |   |
|  |  |   | TOTAL BALANCE O   | F TERM DEBT  |   |
|  |  |   |   |  |   |
| DI FASE ANSWER THE FOLLOWING QUESTIONS:  |  |   |   |  |   |
| PLEASE ANSWER THE FOLLOWING QUESTIONS:   |  |   |   |  | YFS NO  |
|  | ARE ANY RETUR  | NS BEING AUDITED C  | OR CONTESTED? (IF YES.)   | PLEASE DESCRIBE)   | YES NO  |
| PLEASE ANSWER THE FOLLOWING QUESTIONS:  1. TAX RETURNS FILED THROUGH (YEAR):  2. ARE ANY OF YOUR TAX OBLIGATIONS PAST DUE? (IF YES, PLI  | 1  | NS BEING AUDITED C  | IR CONTESTED? (IF YES, I  | PLEASE DESCRIBE)   |   |
| 1. TAX RETURNS FILED THROUGH (YEAR):   | EASE DESCRIBE)   | NS BEING AUDITED C  | IR CONTESTED? (IF YES, I  | PLEASE DESCRIBE)   |   |
| TAX RETURNS FILED THROUGH (YEAR):  2. ARE ANY OF YOUR TAX OBLIGATIONS PAST DUE? (IF YES, PLI   | EASE DESCRIBE) XECUTOR:  |   |   | PLEASE DESCRIBE)   |   |
| 1. TAX RETURNS FILED THROUGH (YEAR): 2. ARE ANY OF YOUR TAX OBLIGATIONS PAST DUE? (IF YES, PLI 3. HAVE YOU DRAWN A WILL? IF YES, PLEASE LIST NAME OF E   | EASE DESCRIBE) XECUTOR: DANT IN ANY LEGAL ACTI   | ON? (IF YES, PLEASE   | DESCRIBE)   | PLEASE DESCRIBE)   |   |
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